

BIOGRAPHY

Rodrigo Garcia, CTP®, AIF®

Firm President, Chief Financial Officer and Chief Investment Officer, Independent Board Director, and Financial Services Practice Leader, with Corporate Strategy, Innovation, and ESG Expertise

San Diego, CA / Chicago, IL • www.rodrigo-garcia.com • <http://www.linkedin.com/in/rodrigo-garcia-investor-executive>



Highly analytical and detail-focused C-level finance executive with proven leadership ability in financial services including corporate finance, institutional asset management and sustainable investing. This includes 20+ years of progressive leadership experience directing investment stewardship, new product development, full lifecycle project management, and banking, payments, and financial operations.

Industry leaders turn to Garcia to lead organizations with complex business activities and/or in need of transformational change, financial and investment expertise, technology innovation and new product development, corporate strategy & venture capital, and ESG. Garcia is qualified to serve as an SEC Audit Committee Financial Expert and is fluent in English and Spanish.

Garcia is an insightful lifelong learner and authentic leader with high emotional intelligence building meaningful relationships, bringing teams together with diverse views and skills, driving organizational growth, and profitability within fast-paced environments.

Garcia has board governance experience, serving as an independent board director, affiliated board director and advisor to public and private companies, and as an investment fiduciary to several endowments:

- **Independent Director, The UBS Funds**, a fund complex managed by the investment management teams of UBS Asset Management (Americas) Inc. catering to a wide range of investor needs and include traditional equity and fixed income funds, asset allocation, quantitative, global and specialty funds. Audit committee.
- **Lead Independent Director, Canela Media, Inc.**, a media and entertainment technology company that provides and facilitates streaming programming, digital media and content to tens of millions of viewers throughout the U.S. and Latin America, generating ~\$100M in annual revenue with offices in NYC, Santa Monica, Miami, Mexico City, and Buenos Aires.
- **Independent Director, Latino Media Network, Inc.**, a media company focused on content creation, talent incubation, and distribution across multiple platforms including several radio stations across 10+ cities broadcasting to tens of millions of listeners throughout the U.S., generating ~\$25M in annual revenues. Chair, Audit committee.

Garcia is the global chief financial officer and U.S. president for Talipot*Holdings, a global investment enterprise and multinational conglomerate holding company with dozens of controlling and non-controlling investments across various sectors and industries. Executive leadership of investment, banking and financial operations including P&L leadership, corporate strategy, and various enterprise systems/processes. Executive, Finance and Investment committees.

Garcia is the former deputy state treasurer and chief investment officer for the 5th largest economy in the U.S., the State of Illinois. Serving in that role from 2015 to 2021, Garcia directed the Illinois treasury's combined \$52 billion investment portfolios, \$275 billion in related banking operations and payment services, and a \$3.2 billion agency budget and financial reporting unit.

Garcia also held roles for BeDoWin360 Capital, the Federal Reserve Bank of Chicago. Morgan Stanley & Co., Inc., the United States Marine Corps and has served as the Director of the Illinois Department of Veterans' Affairs and as a member of the Illinois Cabinet.

Garcia was an Adjunct Professor in Public Finance at Northwestern University and is a Certified Treasury Professional (CTP®), an Accredited Investment Fiduciary (AIF®), and holds SASB's ESG credential. Garcia is a frequent contributor to financial innovation, corporate governance, executive leadership, and the capital markets.

Garcia holds an undergraduate degree with a major in finance from Northeastern Illinois University, two post-graduate degrees, one with a focus in finance from the University of Illinois and one with a focus in public policy from Northwestern University and has also completed a senior executive program at Harvard's Kennedy School.

Garcia is a member of the Latino Corporate Directors Association, Independent Directors Council, Private Directors Association, Angeles Investors, the Milken Institute Global Capital Markets Group, and the Aspen Institute's Global Finance Leaders.